



The Office of the National Coordinator for  
Health Information Technology  
Health IT Advisory Committee

# Annual Report Workgroup Update

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Carolyn Petersen, Co-Chair  
Aaron Miri, Co-Chair

September 5, 2018



# Agenda

- Workgroup Membership
- Workgroup Scope
- HITAC Priority Target Areas
- ONC FY18 Objectives and Benchmarks
- FY18 Annual Report Development to Date
- Work Plan and Schedule

# Annual Report Workgroup Membership and ONC Staff

Member Name	Organization	Role
Carolyn Petersen	Individual	Co-Chair
Aaron Miri	Imprivata	Co-Chair
Christina Caraballo	Kizmet Health	HITAC Committee Member
Brett Oliver	Baptist Health	HITAC Committee Member
Chesley Richards	Public Health Scientific Services, CDC	Federal Representative

ONC Staff Name	Title	Role
Donald Rucker	National Coordinator for Health Information Technology	
Elise Sweeney Anthony	Executive Director, Office of Policy	
Seth Pazinski	Division Director, Strategic Planning & Coordination	
Lauren Richie	Branch Chief, Policy Coordination	Designated Federal Officer (DFO)
Michelle Murray	Senior Health Policy Analyst	Workgroup ONC Staff Lead

# Workgroup Scope

- **Overarching:** The workgroup will inform, contribute to, and review draft and final versions of the HITAC Annual Report to be submitted to the HHS Secretary and Congress each fiscal year. As part of that report, the workgroup will help track ongoing HITAC progress.
- **Detailed:** Provide specific feedback on the content of the report as required by the 21st Century Cures Act including:
  - » Analysis of HITAC progress related to the priority target areas
  - » Assessment of health IT infrastructure and advancements in the priority target areas
  - » Analysis of existing gaps in policies and resources for the priority target areas
  - » Ideas for potential HITAC activities to address the identified gaps

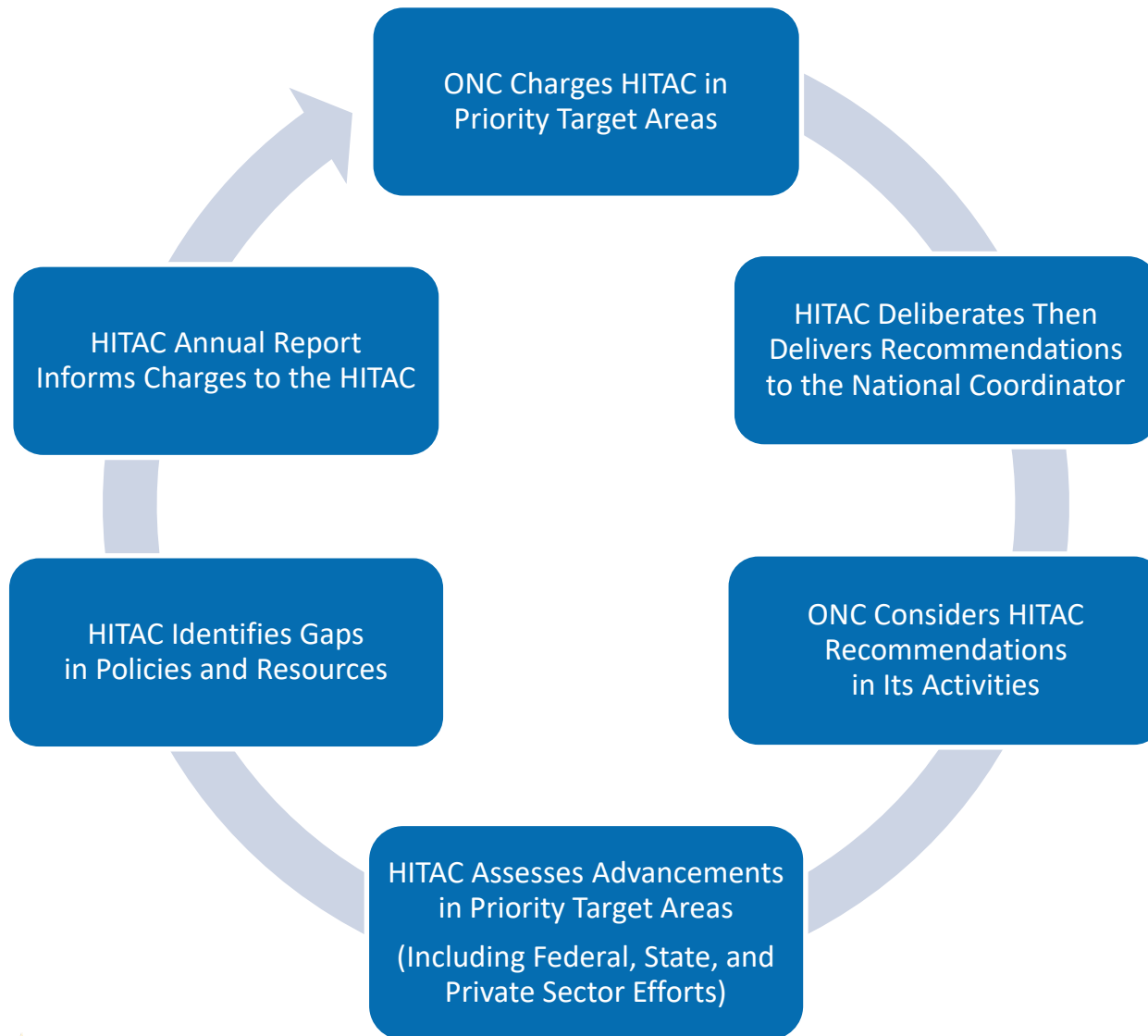
# HITAC Priority Target Areas: Defined

HITAC Priority Target Areas noted in Section 4003 of the 21st Century Cures Act cover the following areas:

- Interoperability – Achieving a health information technology infrastructure that allows for the electronic access, exchange, and use of health information
- Privacy and Security – The promotion and protection of privacy and security of health information in health IT
- Patient Access – The facilitation of secure access by an individual and their caregiver(s) to such individual's protected health information
- Any other target area related to the above target areas that the HITAC identifies as an appropriate target area to be considered on a temporary basis with adequate notice to Congress

# HITAC Annual Report Workgroup

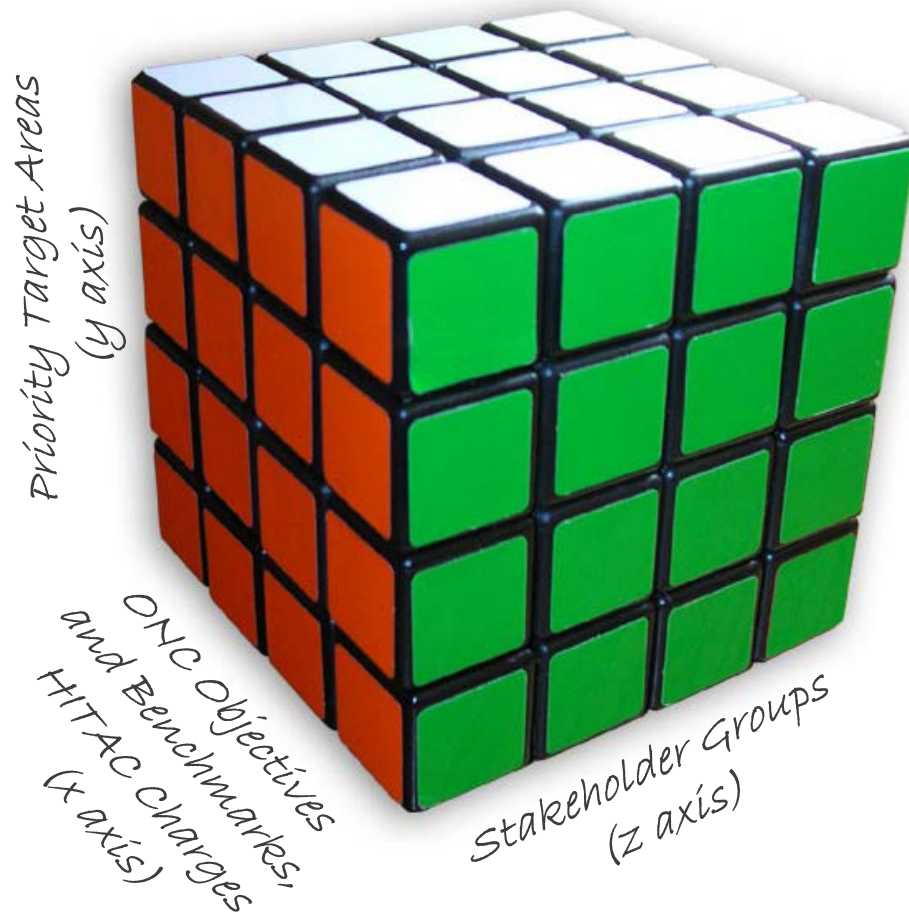
## HITAC Priority Target Areas: Measuring Progress



# 2D Crosswalk of Priority Target Areas for FY18

	Impact on HITAC Priority Target Areas			
	Interoperability	Privacy and Security	Patient Access	Additional Target Area(s) TBD
<b>ONC Objectives</b>				
Publish Proposed Regulation				
Publish Draft Trusted Exchange Framework				
Consider Standards and Specifications				
<b>ONC Benchmarks</b>				
Proposed Regulation Published				
Draft Trusted Exchange Framework Published				
Standards and Specifications Considered				
<b>HITAC Charges</b>				
Trusted Exchange Framework				
U.S. Core Data for Interoperability (USCDI)				
Interoperability Standards Priorities				
Additional Initiatives TBD				

# 3D Crosswalk of Priority Target Areas for FY18



The interests of key stakeholder groups add another dimension to consider, including:

- Patients
- Providers
- Researchers
- Public Health Organizations
- Developers
- Payers/Employers
- Policymakers
- Emerging Voices



# ONC Objectives and Benchmarks

- For the purpose of the HITAC Annual Report, Section 4003 of the 21<sup>st</sup> Century Cures Act states that:

“...the National Coordinator, in collaboration with the Secretary, shall establish, and update as appropriate, objectives and benchmarks for advancing and measuring the advancement of the priority target areas...”
- ONC sets the objectives and benchmarks to be used in the development of the HITAC Annual Report
- The objectives and benchmarks will be aligned with the priority target areas
- ONC intends to establish a consistent measurement process over time but may choose to update the objectives and benchmarks when needed
- ONC is open to feedback from HITAC members about the objectives and benchmarks

# ONC Objectives

For fiscal year 2018 (FY18), ONC has identified several objectives:

1. Publish proposed **regulation** for implementation of the health IT provisions of the 21<sup>st</sup> Century Cures Act to drive access to clinical data by:
  - » Advancing proposals related to application programming interfaces (APIs); and
  - » Identifying behaviors not considered information blocking, which will assist the HHS Office of Inspector General (OIG) in their enforcement of the Cures Act provisions that prohibit information blocking.
2. Publish the draft **Trusted Exchange Framework (TEF)** to improve data sharing across disparate health information networks.
3. Consider **standards and implementation specifications to support priority uses** of health IT based on HITAC recommendations, encouraging all stakeholders to implement and use as applicable to the specific interoperability needs they seek to address.

# ONC Benchmarks

For fiscal year 2018 (FY18), ONC has identified several benchmarks\*:

1. Proposed Regulation Covering APIs, Info Blocking, and Other Health IT Topics Published
  - » Possibility of HITAC activity by end of FY18 in line with schedule for publication of Certification and Interoperability Enhancements (CIE) Proposed Rule
2. Draft Trusted Exchange Framework Published
  - » Draft Trusted Exchange Framework released on January 5, 2018, for public comment
  - » HITAC charged with making recommendations and submitted recommendations to the National Coordinator on draft Trusted Exchange Framework in FY18
3. Standards and Specifications to Support Priority Uses Considered
  - » Draft U.S. Core Data for Interoperability (USCDI) and Proposed Expansion Process released on January 5, 2018
  - » HITAC charged with review and feedback and submitted recommendations to the National Coordinator on USCDI structures and process in FY18
  - » HITAC charged with making recommendations on priority uses of health IT and associated standards and implementation specifications in FY18

\* For FY18, ONC has defined the HITAC benchmarks as standalone measures rather than comparisons to an established industry standard of excellence.

# Proposed FY18 Annual Report Structure

- I. Executive Summary
- II. Overview
- III. Description of HITAC's Work in FY18
- IV. Health IT Infrastructure Landscape Analysis
- V. Health IT Infrastructure Gap Analysis
- VI. Recommendations for Addressing Health IT Infrastructure Gaps
- VII. Suggestions for Additional HITAC Initiatives
- VIII. Conclusion
- IX. Appendices

# Proposed Landscape Analysis Structure

- Overview
  - » Legislative Requirements
  - » Current ONC and HITAC Priorities
- For Each Priority Target Area:
  - » Background
  - » Current State
    - Describe Recent Progress for Various Topics
    - Show Examples from Stakeholder Groups

# Proposed Gap Analysis Structure

- For each priority target area:
  - » Gaps Identified
  - » Opportunities Identified
  - » Recommendations for Addressing Gaps and Opportunities

# FY18 Annual Report Work Plan

Workstreams		Milestones					
		August	Sept	Oct	Nov	Dec	Jan '19
Scope and Priority-Setting	Workgroup	8/2/18 Kickoff					
	HITAC		9/5/18 Update				
Landscape Analysis	Workgroup	8/24/18 Outline	9/20/18 Draft				
	HITAC			10/17/18 Final			
Gap Analysis	Workgroup	8/24/18 Outline		10/5/18 Draft			
	HITAC			10/17/18 Final			
Description of HITAC's Work in FY18	Workgroup			10/5/18 Outline	11/1/18 Draft		
	HITAC				11/14/18 Final		
FY18 Annual Report	Workgroup				11/1/18 Outline	12/6/18 Draft	
	HITAC						1/23/19 Review Final*

\*Once approved by the HITAC, the National Coordinator will review and submit final report to HHS Secretary and Congress

# Meeting Schedule for Workgroup

Month	Deliverables to Review
June 20, 2018	Workgroup scope for FY18 Annual Report announced
August 2, 2018	Discuss plans for FY18 Annual Report
August 24, 2018	Landscape Analysis Outline Gap Analysis Outline
September 20, 2018	Landscape Analysis
October 5, 2018	Gap Analysis Outline of HITAC's Work in FY18
November 1, 2018	Description of HITAC's Work in FY18 FY18 Annual Report Outline
December 6, 2018	FY18 Annual Report Draft
Winter/Spring 2019	FY18 Annual Report Completed as Needed
Spring 2019	Work begins on FY19 Annual Report



# Review Schedule for Full Committee

Meeting Date	Action Items/Deliverables
June 20, 2018	Subcommittee Charge Presented
September 5, 2018	Workgroup Update
October 17, 2018	Landscape Analysis Reviewed Gap Analysis Reviewed
November 14, 2018	Description of HITAC's Work in FY18 Reviewed
January 23, 2019	FY18 Annual Report Reviewed
Winter/Spring 2019	FY18 Annual Report Approved by HITAC FY18 Annual Report Submitted to HHS Secretary FY18 Annual Report Submitted to Congress



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## Questions?

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